DESCRIPTION

PARTITIONING METHODS FOR DYNAMIC ROUTER TABLES

Cross-Reference to Related Applications

This application claims the benefit of U.S. Provisional application No. 60/376,583, filed April 30, 2003, and U.S. patent application Serial No. 10/426,423, filed April 30, 2003.

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Technical Field

The present invention relates to packet routing in dynamic router tables. More specifically, the invention relates to a method, computer system, and computer readable media for matching, inserting, and deleting rules in dynamic routing tables in $O(\log n)$ time.

Background Art

With the doubling of Internet traffic every three months and the tripling of Internet hosts every two years, routers continuously need to increase packet forwarding rates while still allowing for quick adaptation to routing changes. Internet routers classify incoming

packets into a set of packets that are to be treated similarly for routing purposes (also known as "flows") utilizing information contained in packet headers and a table of rules. This table is called the "rule table" or "router table." The packet-header information that is used to perform the classification is some subset of the source and destination addresses, the source and destination ports, the protocol, protocol flags, type of service, and so on. The specific header information used for packet classification is governed by the rules in the rule table.

Each rule-table consists of at least one tuple, which is a pair of the form (F, N), where F is a filter and N is the next action (*i.e.*, hop) for the packet. Typically, each filter is a destination address prefix and longest-prefix matching is used to determine the next hop for each incoming packet. That is, when a packet arrives at a router, its next hop is determined by the rule that has the longest prefix (*i.e.*, filter) that matches the destination address of the packet. Generally, the length of a router-table prefix cannot exceed the length W of a destination address. In IPv4, destination addresses are $W = 32$ bits long, and in IPv6, $W = 128$.

In a static rule table, the rule set does not vary in time. For these tables, problems are often associated with the time required to search the rule table for the rule to use; the preprocessing time needed to create the rule-table data structure; and the amount of memory required by the rule-table data structure. In practice, rule tables are seldom truly static. At best, rules are rarely added to or deleted from the rule table because the rule-table data structure has to be reconstructed whenever a rule is added or deleted.

In contrast, in a dynamic rule table, rules are added/deleted with some frequency. For such tables, inserts/deletes are not batched. Rather, they are performed in real time. For such tables, the time required to insert/delete a rule is a concern. For a dynamic rule table, the initial rule-table data structure is constructed by starting with empty data structures and then inserting the initial set of rules into the data structure one by one. Consequently, in the case of dynamic tables, the preprocessing time is very closely related to the insert time.

Several trie-based data structures for prefix-router tables have been proposed (Sklower, K., "A tree-based routing table for Berkeley Unix," Technical Report, University of California, Berkeley (1993); Degermark, M. *et al.*, "Small forwarding tables for fast

routing lookups," *ACM SIGCOMM,* 3-14 (1997); Doeringer, W. *et al.,* "Routing on longest-matching prefixes," *IEEE/ACM Transactions on Networking,* 4, 1:86-97 (1996); Nilsson, S. and G. Karlsson, "Fast address look-up for Internet routers," *IEEE Broadband Communications* (1998); Srinivasan, V. and G. Varghese, "Faster IP lookups using controlled prefix expansion," *ACM Transactions on Computer Systems,* 1-40 (1999); Sahni, S. and Kim, K. "Efficient construction of fixed-stride multibit tries for IP lookup," *Proceedings 8th IEEE Workshop on Future Trends of Distributed Computing Systems* (2001); Sahni, S. and Kim, K. "Efficient construction of variable-stride multibit tries for IP lookup," *Proceedings IEEE Symposium on Applications and the Internet (SAINT),* 220-227 (2002)). Some of the proposed structures perform dynamic router-table operations (lookup, insert; delete) in $O(W)$ time. Others attempt to optimize lookup time and memory requirement through an expensive preprocessing step. These structures, while providing very fast lookup capability, have a prohibitive insert/delete time and so, they are suitable only for static router-tables (*i.e.,* tables into/from which no inserts and deletes take place).

Another proposed scheme performs a binary search on hash tables organized by prefix length (Waldvogel, M., "Scalable high speed IP routing lookups," *ACM SIGCOMM,* 25-36 (1997)). This binary-search scheme has an expected complexity of $O(\log W)$ for lookup. An alternative adaptation of binary search to longest-prefix matching uses a multi-way and multicolumn search (Lampson, B., *et al.,* "IP lookup using multi-way and multicolumn search," *IEEE INFOCOM* (1998)). Using this adaptation, a lookup in a table that has n prefixes takes $O(W + \log n) = O(W)$ time. Because both schemes also use expensive precomputation, they are not suited for dynamic router-tables.

A B-tree data structure for dynamic router tables has been proposed (Suri, S. *et al.,* "Multiway range trees: Scalable IP lookup with fast updates," *GLOBECOM* (2001)). Using the B-tree data structure, the longest matching-prefix, $lmp(d)$, can be found in $O(\log n)$ time. However, inserts/deletes take $O(W \log n)$ time. When W bits fit in $O(1)$ words (as is the case for IPv4 and IPv6 prefixes) logical operations on W-bit vectors can be done in $O(1)$ time each. In this case, the scheme takes $O(\log W \log n)$ time for an insert and $O(W + \log n) =$

$O(W)$ time for a delete. The number of cache misses that occur when the data structure is used is $O(\log n)$ per operation.

Data structures have been developed called a collection of red-black trees (CRBT) and alternate collection of red-black trees (ACRBT) which support the three operations of a dynamic router-table (longest matching-prefix, prefix insert, and prefix delete) in $O(\log n)$ time each. (Sahni, S. and K. Kim, "$O(\log n)$ dynamic packet routing," *IEEE Symposium on Computers and Communications* (2002)). The number of cache misses in each structure is also $O(\log n)$. Like the original biased-skip list structure of Ergun, F. *et al.* ("A dynamic lookup scheme for bursty access patterns," *IEEE INFOCOM* (2001)), CRBT and ACRBT adapt so as to perform lookups faster for bursty access patterns than for non-bursty patterns. The ACRBT structure may also be adapted to obtain a collection of splay trees structure, which performs the three dynamic router-table operations in O $(\log n)$ amortized time and which adapts to provide faster lookups for bursty traffic.

Priority search trees have also been used to arrive at an $O(\log n)$ data structure for dynamic prefix-tables. This structure is faster than the CRBT structure described above. A data structure that employs priority search trees and red-black trees for the representation of rule tables in which the filters are a conflict-free set of ranges has also been proposed. This data structure permits most-specific-range matching as well as range insertion and deletion to be done in $O(\log n)$ time each.

Data structures BOB (binary tree on binary tree) have also been developed for dynamic router-tables in which the rule filters are non-intersecting ranges and in which the ties are broken by selecting the highest-priority rule that matches a destination address. Using BOB, the highest-priority rule that matches a destination address may be found in $O(\log^2 n)$ time; a new rule may be inserted and an old one deleted in $O(\log n)$ time. Related structures PBOB (prefix BOB) and LMPBOB (longest matching-prefix BOB) have been proposed for highest-priority prefix matching and longest-matching prefixes. These structures apply when all filters are prefixes. The data structure LMPBOB permits longest-prefix matching in $O(W)$ time; rule insertion and deletion take $O(\log n)$ time each. On practical rule tables, BOB and PBOB perform each of the three dynamic-table operations in

$O(\log n)$ time and with $O(\log n)$ cache misses. The number of cache misses incurred by LMPBOB is also $O(\log n)$.

In addition to prefix routing, range routing can also be used. In a range router-table, each rule is a range of destination addresses. Several criteria have been proposed to select

5 the best rule that matches a given destination address – first matching-rule in table, highest-priority rule that matches the address, and so on. For example, two data structures have been developed for dynamic range-router-tables – heap on TRIE (HOT) and binary search tree on TRIE (BOT) (see Gupta and McKeown, "Dynamic algorithms with worst-case performance for packet classification," *IFIP Networking* (2000)). Both of these data structures are for

10 cases when the best-matching rule is the highest-priority rule that matches the given destination address. The HOT takes $O(W)$ time for a lookup and $O(W \log n)$ time for an insert or delete. The BOT structure takes $O(W \log n)$ time for a lookup and $O(W)$ time for an insert/delete. However it would be desirable to reduce these times as much as possible to efficiently route packets.

15 Hardware solutions that involve the use of content addressable memory as well as solutions that involve modifications to the Internet Protocol (*i.e.*, the addition of information to each packet) have also been proposed to increase the efficiency of routing packets. Unfortunately, hardware solutions are expensive and are difficult to individualize to specific needs.

20 In addition to the above mentioned papers, a number of U.S. Patents and published applications address dynamic routing schemes including, but not limited to U.S. Patents Nos.: 6,341,130; 6,335,932; 6,289,013; 6,212,184; 6,157,955; 6,092,072; 6,061,712; 6,041,053; 6,021,131; 6,018,524; 5,909,440; 5,787,430; 5,701,467; 5,555,405; 4,833,468; 4,251,861; and published patent application number 2002/0009076. Unfortunately, these

25 references do not disclose schemes that adequately reduce the time in routing packets.

Accordingly, there is a need in the art for a routing structure to provide more effective ways to classify and route data packets. Specifically, prefix and interval partitioning schemes are needed to provide rapid and more memory efficient methods to search, insert and delete items in known dynamic router data tables.

Summary of the Invention

The present invention provides unique schemes that are simple and permit faster prefix routing in dynamic router tables. As contemplated by the present invention, a dynamic routing table is constructed in which prefixes are partitioned at each node into up to min(n, 2^s + 1) partitions based on the value of the first s bits of the prefixes, wherein $s \leq W$. W is commonly used to denote the maximum possible length of a prefix. Prefixes having lengths less than s are placed into a designated partition (*i.e.*, partition –1), with the remaining prefixes whose lengths are greater than or equal to s being stored in the partitions that correspond to the value of their first s bits.

The present invention also includes dynamic interval based structures with novel partitions. An interval is the range of destination addresses for each prefix, wherein two consecutive end points define a basic interval. According to the present invention, a data structure is constructed in which basic intervals are partitioned on the first s bits of the start point of each basic interval and are each stored in a node in a prefix tree (also called a prefix node). A "basic interval tree" or BIT is employed to determine in which basic interval any given destination address d is located. Along with each basic interval is a pointer to a node on a "back-end prefix tree," which contains each of the prefixes in the router table. For each partition of basic intervals, a separate data structure is constructed, whereas the back-end prefix trees are unaffected by the partitioning, thus increasing routing efficiency.

In accordance with the present invention, prefix or interval partitioning schemes are applied to interval-based data structures including, but not limited to, priority search trees, red-black trees (*i.e.*, a collection of red-black trees: ACRBT), and a collection of red-black trees with each search tree being a splay tree (CST).

In a preferred embodiment, the partitioning schemes of the invention are applied to data structures in which basic intervals or partitioned prefixes are represented in a priority search tree and red-black priority search tree. In a related embodiment, router operations (*i.e.*, matching, inserting and deleting of data) in a dynamic router table comprising address partitioned prefixes (by prefix partitioning or by interval partitioning) are accomplished by

finding the longest matching prefix or interval using priority search trees alone or in combination with red black trees.

In view of the foregoing, it is an object of the present invention to provide an improved system and method for matching, inserting and deleting data in a dynamic router table.

The advantages of the invention are numerous. One significant advantage of the invention is that it provides a more memory efficient data structure, allowing better system resource allocation. Compared to conventional methods, the invention also reduces the time required to insert and delete tuples in a dynamic data table. Furthermore, by implementing the current invention in dynamic routers, network congestion can be reduced, throughput of network traffic can be increased, router table updates can be performed in real time, and the number of lost packets can be reduced.

Other aspects and advantages of the invention will become apparent from the following detailed description taken in conjunction with the accompanying drawings, illustrating, by way of example, the principles of the invention.

Brief Description of the Drawings

In order that the manner in which the above-recited and other advantages and objects of the invention are obtained, a more particular description of the invention briefly described above will be rendered by reference to specific embodiments thereof which are illustrated in the appended drawings. Understanding that these drawings depict only typical embodiments of the invention and are not therefore to be considered to be limiting of its scope, the invention will be described and explained with additional specificity and detail through the use of the accompanying drawings in which:

Figure 1 is a pictorial representation of a one-level dynamic prefix partitioning scheme of the present invention.

Figures 2A, 2B, 2C, and 2D are histograms of partition sizes (excluding partition – 1) for router tables in which OLDP is applied in accordance with the present invention.

Figure 3 is a flow chart illustrating the steps of using the prefix partitioning scheme of Figure 1.

Figure 4 is a pictorial representation of a two-level dynamic prefix partitioning scheme of the present invention.

Figure 5 is a flow chart illustrating the steps of using the prefix partitioning scheme of Figure 4.

Figures 6A and 6B illustrate an exemplary prefix set and its expansion when applied to fixed-stride tries in accordance with the present invention.

Figure 7 illustrates a fixed-stride trie for the expanded prefixes of Figure 10(b) in accordance with the present invention.

Figure 8 is a pictorial representation of prefixes, ranges, and corresponding intervals in accordance with the present invention.

Figure 9 is a graph illustrating the total memory required by each of the router-table data structures.

Figures 10A through 10D represent histograms of the average time for PAIX.

Figure 11 is a histogram of the average prefix insertion time for PAIX.

Figure 12 is a histogram of the deletion time for PAIX.

Figure 13 is a flow chart illustrating the steps for finding most specific ranges according to the current invention.

Figures 14A through 14F illustrate various router table data structures that can be used with the interval partitioning scheme of the present invention. **Figure 14A** illustrates a basic interval tree and **Figures 14B through 14F** illustrate the prefix trees for prefixes P1 through P5 of the example provided.

Figure 15 is a flow chart illustrating the steps of using the interval partitioning scheme of the present invention.

Figure 16 is a flow chart illustrating the steps of performing the "lookup" router operation using the interval partitioning scheme of present invention.

Figure 17 is a flow chart illustrating the steps of performing the "insertion" router operation using the interval partitioning scheme of present invention.

Figure 18 is a flow chart illustrating the steps of performing the "deletion" router operation using the interval partitioning scheme of the present invention.

Detailed Disclosure of the Invention

5   The present invention relates to methods for improving router operations and improving the amount of memory storage required for current router table structures. In one embodiment of the present invention, the dynamic router table is organized such that prefixes are partitioned into up to min(n, 2^s + 1) partitions by comparing the prefix length to a pre-established s, where $s \le W$ (W generally refers to the length of a destination address). In

10   another embodiment, the present invention represents prefixes as basic intervals and partitions the basic intervals in 2^s-entry partitions by comparing the start point of each basic interval to a pre-established s.

The prefix and interval partitioning schemes of the invention are applicable to interval based router-table designs. In a preferred embodiment, the partitioning schemes of

15   the invention are applied to a dynamic router table consisting of a PST and a red black priority search tree (RBPST).

I. Prefix Partitioning

The present invention relates to multi-level partitioning, in which a dynamic router

20   table structure is organized such that prefixes are partitioned by the first s bits of the prefixes, where $s \le W$. The partitioned tree comprises 2^s + 1 partitions. Prefixes that are of a length less than s bits fall into a designated partition (*i.e.*, partition -1); the remaining prefixes are stored in partitions that correspond to their first s bit value. By storing shorter length prefixes in a designated partition, the prefix partitioning scheme of the present invention is suitable

25   for dynamic routing operations.

In one embodiment of the present invention, a one-level dynamic partition (OLDP) structure is provided in which the root node represents the partitioning of the router-table into 2^s + 1 partitions. As illustrated in Figure 1, s bits are established so that incoming packets specifying prefixes and decisions rules for the prefixes can be partitioned. The prefix is

assessed to determine whether the prefix has a length greater than or equal to s. Should the prefix have a length that is less than s, the prefix falls into a designated partition (*i.e.*, partition −1). Prefixes whose lengths are equal to or greater than s are placed into a partition that corresponds to the value of their first s bit. The prefixes in each partition are then represented using dynamic router table data structures.

Compatible dynamic router table data structures include router table data structures that have been disclosed in Lampson *et al.*, "IP lookup using multiway and multicolumn search," *IEEE INFOCOM*, 1998; Ergun *et al.*, "A dynamic lookup scheme for bursty access patterns," *IEEE INFOCOM*, 2001; in U.S. patent Nos. 6,266,706; 6,563,823; 6,522,632; and 5,761,440.

The OLDP of the invention was applied to four different types of known router tables — Paix, Pb, Aads, MaeWest — with $s = 16$. As can be seen in the following Table 1 and in Figures 2A, 2B, 2C, and 2D, OLDP with $s = 16$ is quite effective in reducing both the maximum and the average partition size. In all of the representative databases, partition −1 was substantially larger than the remaining partitions.

Table 1—Statistics of one level partition ($s = 16$)

Database	Paix	Pb	Aads	MaeWest
# of prefixes	85988	35303	31828	28890
# of nonempty partitions	10443	6111	6363	6026
Partition −1	586	187	188	268
Max size of remaining partitions	229	124	112	105
Average size of nonempty partitions (excluding partition −1)	8.2	5.7	5.0	4.8

In a preferred embodiment, a dynamic router table is constructed in which OLDP is implemented to partition the prefixes in the table. The partitions can be represented using any known dynamic routing table data structure (*i.e.*, hash tables). For example, as illustrated in Figure 3, a dynamic router table using the multilevel prefix partitioning scheme of the present invention comprises the steps of: establishing the s bits with which the prefixes will be partitioned 1; receiving packets specifying the prefixes and decision rules for the prefixes 3; partitioning the prefixes into up to min(n, $2^s + 1$) partitions based on the first s bits of the prefixes 5; identifying whether the prefixes have a length equal to or greater than s 7; and

should the prefixes have a length equal to or greater than s, placing the prefixes into partitions that correspond to the value of their first s bit **9** and representing them in each partition using a dynamic router structure **11**; or should the prefixes have a length less than s bits, they are placed into a designated partition (*i.e.*, partition −1) **13**.

In Figure 4, a two level dynamic partition (TLDP) structure is constructed in which the root node partitions the prefix set into partitions of OLDP by using the first s bits of each · prefix. Additionally, the set of prefixes in the designation partition for prefixes whose length is less than s (*i.e.*, partition −1) is further partitioned at node *TLDP* by using pre-established t bits, wherein $t < s$ bits of the prefixes in partition −1. This partitioning follows the strategy used at the root. However, t rather than s bits are used. The prefixes of the partitions can be represented using known routing table data structures (*i.e.*, B-tree data structure, HOT and BOT data structures, CRBT and ACRBT data structures, PST data structure, CST, MUTIBIT, TRIE, and ARRAY).

As illustrated in Figure 5, a TLDP structure is constructed in a fashion very similar to that of OLDP. As with the OLDP, s bits by which prefixes are partitioned are first established **15** and packets specifying the prefixes and decision rules for the prefixes are received **17**. The prefixes are then partitioned into $\min(n, 2^s + 1)$ partitions using the s bits of each prefix **19**. Prefixes having a length equal to or greater than s bits are identified **21**. Prefixes whose lengths are equal to or greater than s are placed into a partition that corresponds to the value of their first s bit **23**. The prefixes in each partition are represented using a dynamic routing table structure **25**.

Where the prefixes have a length less than s, they are placed into a first designated partition, partition −1 **27**. Then, t bits are established by which the prefixes are further partitioned **29**. The prefixes in the first designated partition (partition −1) are further partitioned into $2^t + 1$ partitions using the first t bits of the prefixes **31**. Where a prefix in partition −1 has a length equal to or greater than t **33**, the prefix is placed into a partition that corresponds to the value of its first t bit **35**. A prefix whose length is less than t is placed into a second designated partition **37**. Therefore, the prefixes of partition −1, are further partitioned into $2^t + 1$ partitions using the first t bits of the prefixes.

The TLDP of the invention was applied to four different types of known router tables – Paix, Pb, Aads, MaeWest – with $s = 16$ and $t = 8$. When the number of prefixes in each partition is rather small, each partition can be represented using an array linear list in which the prefixes are in decreasing order of length. As can be seen in the following Table 2, TLDP with $s = 16$ and $t = 8$ is quite effective in reducing both the maximum and the average partition size.

Table 2—Statistics of two level partitions ($s = 16$ and $t = 8$)

Database	Paix	Pb	Aads	MaeWest
OLDP Partition –1	586	187	188	268
# of nonempty TLDP partition	91	57	53	67
TLDP Partition –1	0	0	0	0
Max{TLDP partitions}	33	12	15	15
Average number of nonempty TLDP partitions	6.4	3.3	3.5	4.0

Using the prefix partitioning scheme of the present invention, the prefixes in each partition in the router table can be represented using a dynamic router table structure known to the skilled artisan. By doing so, the processing time required to search, insert, and delete tuples in each partition is reduced.

In one embodiment, at least one partition is constructed using a priority search tree (PST). The priority search tree can perform router operations alone or in combination with other priority search trees. In a preferred embodiment, the priority search tree is a red black priority search tree (RBPST). In another embodiment, the priority search tree is a radix priority search tree.

Prefix partitions using a priority search tree can perform the functions of search, insert, and delete of tuples expeditiously. It is well known that if R is a set of ranges such that each range represents an address prefix, no two ranges will intersect. As a result, the set of ranges R, is conflict free. To find the most specific range in the partition, the ranges are represented in a PST. By operating on the PST to define a rectangle comprising the ranges associated with the desired destination address, the most specific range can then be found by locating the point in the defined rectangle having the least x-value. Using this method, the longest prefix is located in the dynamic router table. To insert a prefix into the router data

table, the range is mapped and transformed as described above, and the transformed range is inserted into the PST. To delete a prefix, the transformed range is removed from the PST. When the PST is an RBPST, each search, insert, and delete action is performed in $O(\log n)$ time.

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Example 1– OLDP and TLDP application to fixed-stride tries

A trie node whose stride is s has 2^s subtries, some or all of which may be empty. A fixed-stride trie (FST) is a trie in which all nodes that are at the same level have the same stride. The nodes at level i of an FST store prefixes whose length, *length(i)*, is $\sum_{j=0}^{i} s_j$,

10   where s_j is the stride for nodes at level j. In certain instances, the present invention provides for the expansion of a prefix with a nonpermissible length to the next permissible length. In such instances, where a newly created prefix is a duplicate, natural dominance rules are applied to eliminate all but one occurrence of the prefix. Because duplicate prefixes are eliminated from the expanded prefix set, all prefixes are distinct.

15   By way of example, a set of prefixes is represented on an FST that has three levels, wherein the strides are 3, 2, and 2. The root of the trie stores prefixes whose length is 3; the level one nodes store prefixes whose length is 5 (3 + 2); and the level two nodes store prefixes whose length is 7 (3 + 2 + 2). This poses a problem for prefixes in which the length is different from the storeable length. For instance, suppose the length of a prefix is 2. In

20   accordance with the present invention, the length of the prefix can be expanded to the next permissible length. For example, as illustrated in Figure 6A, P3 = 11* is expanded to P3a = 110* and P3b = 111*. If one of the newly created prefixes is a duplicate, natural dominance rules are used to eliminate all but one occurrence of the prefix. For instance, P7 = 110000* is expanded to P7a = 1100000* and P7b = 1100001*. However, P8 = 1100000* is to be

25   chosen over P7a = 1100000*, because P8 is a longer match than P7. So P7a is eliminated. Because the elimination of duplicate prefixes from the expanded prefix set, all prefixes are distinct. Figure 6B shows the prefixes that result when the prefixes of Figure 6A are expanded to lengths 3, 5, and 7. Figure 7 illustrates the corresponding FST whose height is 2 and whose strides are 3, 2, and 2.

The FST of Figure 7 can be searched with at most 3 memory references, thus demonstrating an improved time performance as compared to a 1-bit trie, which requires up to 7 memory references to perform a search for the prefix set of Figures 6A and 6B. For any given set of prefixes, the memory required by an FST of whose height is at most k depends on the strides of the up to $k + 1$ levels in the FST. Algorithms have been developed to efficiently find the up to $k + 1$ strides that result in most memory efficient FSTs. For dynamic router tables, however, the optimal strides change with each new insert or delete operation. Therefore, instead of maintaining optimality of strides dynamically, the strides must be fixed based on expected characteristics of the prefix set. The use of expected characteristics precludes the use of variable-stride tries.

To determine the strides of the FST for dynamic router tables, the distribution of prefixes in a database is examined. For example, the following Table 3 provides a distribution of the prefix set of Figures 6A and 6B in a Paix database. Fewer than 0.7% of the Paix prefixes have length < 16.

Table 3—Distribution of prefixes in Paix

Len	# of Prefixes	% of prefixes	Cumulative % of prefixes	Len	# of Prefixes	% of prefixes	Cumulative % of prefixes
1	0	0.0000	0.0000	17	918	1.0714	9.4652
2	0	0.0000	0.0000	18	1787	2.0856	11.5509
3	0	0.0000	0.0000	19	5862	6.8416	18.3924
4	0	0.0000	0.0000	20	3614	4.2179	22.6103
5	0	0.0000	0.0000	21	3750	4.3766	26.9870
6	0	0.0000	0.0000	22	5525	6.4483	33.4353
7	0	0.0000	0.0000	23	7217	8.4230	41.8583
8	22	0.0267	0.0257	24	49756	58.0705	99.9288
9	4	0.0047	0.0303	25	12	0.0140	99.9428
10	5	0.0058	0.0362	26	26	0.0303	99.9732
11	9	0.0105	0.0467	27	12	0.0140	99.9872
12	26	0.0303	0.0770	28	5	0.0058	99.9930
13	56	0.0654	0.1424	29	4	0.0047	99.9977
14	176	0.2054	0.3478	30	1	0.0012	99.9988
15	288	0.3361	0.6839	31	0	0.0000	99.9988
16	6606	7.7099	8.3938	32	1	0.0012	100.0000

Hence, using a root stride of 16 will require only a small percentage of the prefixes from length < 16 to be expanded to length 16. Because using a larger stride for the root required the expansion of the 6606 prefixes of length 16, the root stride was set at 16. For the children and grandchildren of the root, a stride of 4 was chosen. Thus, the prefixes whose length is 17, 18, and 19 were expanded to length 20 and prefixes whose length is 21, 22, and 23 were expanded to length 24. The level 4 nodes were given a stride of 8, requiring the expansion of those prefixes whose length is between 25 and 31 to the length of 32. These stride choices result in a 16-4-4-8-FST (root stride is 16, level 1 and level 2 stride are 4, level 3 stride is 8). Since a 16-4-4-8 FST has 4 levels, the longest matching prefix may be found with at most 4 memory accesses. In other embodiments, a 16-8-8-FST or a 16-4-4-4-4-FST are used in accordance with the present invention.

To find the longest matching prefix using an FST containing the prefix partitioning scheme of the present invention, the bits of d are used to follow a path from the root of the FST toward a leaf. The last prefix encountered along this path is the longest matching prefix. For example, to determine the longest matching prefix for 1100010 from the 3-2-2-FST of Figure 6B, the first three bits (110) are used to obtain the left level 1 node, as illustrated in Figure 7. The next two bits (00) are used to reach the level 2 node. The final remaining last 2 bits (10) fall off the trie. The prefixes encountered on this path are P3 (in the root) and P6 (in the level 1 node; node that no prefix is encountered in the 10 fields of the level 2 node). The last prefix encountered is P6. Hence the longest matching prefix for 1100010 is P6.

To insert the prefix p, a search path determined by the bits of p is followed until the level i node N with property $length(i-1) < length(p) \le length(i)$ (i.e., assume that length (-1) = 0, is reached). Where a node N is not in the trie, empty nodes are added to the trie. The prefix p is then expanded to length $length(i)$ and stored in the node slots for each of the expanded prefixes. Where a slot is already occupied, p is stored in the occupied spot only if it is longer than the prefix occupying the node.

To facilitate the delete operation, each node M of an FST maintains an auxiliary Boolean array $M.prefixes[0:2^s - 1[$, where s is the stride of M. This array keeps track of the

prefixes inserted at node M. When prefix p is inserted, $N.prefixes[q]$ is set to true. q is $2^{length(p)-length(i-1)} + number(i,p) -2$, where (i,p) is a number represented by bits $length(i-1)...length(p)-1$ of p (the bits of p are indexed from left to right beginning with the index 0). For example, the bit-sequence 010 represents the number 2. An alternative to the array $M.prefixes[\ ]$ is to keep tack of the prefixes inserted at node M using a trie on bits $length(i-1)...$ of the inserted prefixes.

To delete the prefix p, the node N is identified in the same fashion as that for an insertion operation described above. $N.prefixes[q]$ is set to false, where q is computed as described above. To update the prefix slots of N that contain p, the longest proper prefix of p that is in $N.prefixes$ is identified. This longest proper prefix is determined by examining $N.prefixes[j]$ for $j = 2^{r-length(i-1)} + number\ (i,p_r) - 2$, $r = length(p) - 1$, $length(p) - 2$, ..., $length(i-1) + 1$, where p_r is the first r bits of p. The examination stops at the first j for which $N.prefixes[j]$ is true. The corresponding prefix replaced p in the prefix slots of N. If there is no such j, the null prefix replaces p.

Since the root stride is 16, for the recommended IPv4 FSTs (16-4-4-8, 16-4-4-4-4, and 16-8-8) and since $s = 16$ is recommended for IPv4, by way of example, an OLDP 16-4-4-4-4 FST has the structure shown in Figure 1 with each $OLDP[i]$, $i \geq 0$ being a 4-4-4-4 FST; $OLDP[-1]$ is a 4-4-4-3 FST. The root of each 4-4-4-4 FST, while having a stride of 4, needs to account for prefixes of length 16 through 20.

In contrast, a TLDP 16-4-4-4-4 FST has the structure of Figure 4 with each $OLDP[i]$, $i \geq 0$ being a 4-4-4-4 FST; each $TLDP[i]$, $i \geq 0$ is a 4-3-FST; and $TLDP[-1]$ is a 4-3-FST. The root of each $TLDP[i]$ 4-3-FST, while having a stride of 4, needs to account for prefixes of length 8 through 12.

II. Interval Partitioning

According to the present invention, interval partitioning requires prefixes be represented as a range. The end points of the ranges are then ordered, wherein two consecutive endpoints define an interval (also called a "basic interval"). Using interval-based routing table data structures, the interval partitioning scheme of the present invention

improves the performance of routing operations such as lookup, insertion, and deletion of prefixes while enabling memory efficiency.

The present invention can be understood by means of an example. The following Table 4 is a set of five prefixes along with the range of destination addresses matched by each prefix. An illustration of basic intervals of the five prefixes of Table 4 is given in Figure 8.

Table 4—Prefixes and their ranges, $W = 5$

Prefix Name	Prefix	Range Start	Range Finish
P1	*	0	31
P2	0101*	10	11
P3	100*	16	19
P4	1001*	18	19
P5	10111	23	23

In accordance with the invention, two consecutive end points define a basic interval. In general, n prefixes may have up to $2n$ distinct end points and $2n - 1$ basic intervals. As seen in Table 4, the end points of the five prefixes of Table 4 are, in ascending order, 0, 10, 11, 16, 18, 19, 23, and 31. Therefore, the basic intervals defined by the example of Table 4 are $r1 = [0,10]$, $r2 = [10,11]$, $r3 = [11,16]$, $r4 =]16,18]$, $r5 = [18,19]$, $r6 = [19,23]$, and $r7 = [23,31]$.

In accordance with the invention, for each prefix and basic interval, x, $next(x)$ is defined to be the smallest range prefix (*i.e.*, the longest prefix) whose range includes the range of x. For the example of Figure 8, the $next()$ values for the basic intervals of $r1$ through $r7$ are, respectively, P1, P2, P1, P3, P4, P1, and P1.

Any known interval-based dynamic router table may be employed to determine the basic interval into which any destination address d is allocated. For example, a front-end basic-interval tree (BIT) can be used to perform such a function. See Sahni, S. and Kim, K., "$O(\log n)$ dynamic packet routing," *IEEE Symposium on Computers and Communications*, 2002. In one embodiment, prefix trees are constructed for each of the prefixes in the router table, hereinafter termed the back-end prefix trees or CPT.

III. Experimental Results for Prefix- and Interval-Partitioning Schemes of the Invention

To assess the efficacy of the prefix partitioning schemes of the present invention, these schemes were programmed in C++ and applied as the $OLDP[i]$, $i \geq 0$ structure (as well as the $OLDP[-1]$ structure in the case of one-level dynamic partitioning) to the following dynamic router-table structures: (a) ACRBT (ACBST with each search tree being a red-black tree); (b) CST (ACBST with each search tree being a splay tree); (c) MULTIBIT (16-4-4-4-4 FST; in OLDP applications, 4-4-4-4-FSTs are used for $OLDP[i]$, $i \geq 0$ and a 4-4-4-3-FST is used for $OLDP[-1]$; in TLDP applications, 4-4-4-4-FSTs are used for $OLDP[i]$, $i \geq 0$, 4-3-FSTs for $TLDP[i]$, $i \geq 0$, and a 4-3-FST for $TLDP[-1]$); (d) MULTIBITb (16-8-8 FST; in OLDP applications, 8-8-FSTs are used for $OLDP[i]$, $i \geq 0$, and an 8-7-FST is used for OLDP[-1]; in TLDP applications, 8-8 FSTs are used for $OLDP[i]$, $I \geq 0$ 8-FSTs for $TLPD[i]$, $i \geq 0$, and a 7-FST is used for $TLDP[-1]$); (e) PST (prefix search trees); (f) PBOB (prefix binary tree on binary tree structure); (g) TRIE (one bit trie); and (h) ARRAY (this is an array linear list in which the prefixes are stored in a one-dimensional array in non-decreasing order of prefix length; the longest matching-prefix is determined by examining the prefixes in the order in which they are stored in the one-dimensional array; array doubling is used to increase array size, as necessary, during an insertion).

The notation ACRBT1p (ACRBT1 pure) was used, for example, to refer to OLDP with ACRBTs. ACRBT2p refers to TLDP with ACRBTs. ACRBTIP refers to interval partitioning applied to ACRBTs and CSTIP refers to interval partitioning applied to CSTs.

The schemes whose name end with an "a" (for example, ACRBT2a) are variants of the corresponding pure schemes. In ACRBT2a, for example, each of the TLDP codes, $TLDP[i]$ was implemented as an array linear list until $|TLDP[i]| > \tau$, where the threshold τ was set to 8. When $|TLDP[i]| > \tau$ for the first time, $TLDP[i]$ was transformed from an array linear list to the target dynamic router-table structure (*i.e.*, PBOB in the case of PBOB2). Once a $TLDP[i]$ was transformed into the target dynamic router-table structure, there was no transformation back to the array linear list structure. Similarly, $OLDP[i]$, $i \geq 0$ for TLDPs

were implemented as array linear lists until $|OLDP[i]| > \tau$ for the first time. A similar use of array linear lists was made when implementing the OLDP codes.

When $\tau = 0$, the corresponding pure scheme (*i.e.*, when $\tau = 0$, ACRBT1a is equivalent to ACRBT1p and PBOB2a is equivalent to PBOB2p, for example) is obtained and when $\tau = \infty$, one of the two partitioned ARRAY schemes (*i.e.*, ACRBT1a, CST1a, PST1a, PBOB1a, *etc.* are equivalent to ARRAY1p while ACRBT2a, CST2a, MULTIBIT2a, *etc.* are equivalent to ARRAY2p) is obtained. By varying the threshold τ between the two extremes 0 and ∞, the performance of hybrid schemes such as ACRBT1a, MULTIBIT2a, *etc.* can be varied between that of the pure partitioned scheme and that of ARRAY1p and ARRAY2p.

ACRBT2aH refers to ACRBT2a in which the root-level partitioning node is represented using a hash table rather than an array. For the OLDP partitioning schemes, $s = 16$ and $t = \infty$. The combinations ARRAY1a and ARRAY2a are the same as ARRAY1p and ARRAY2p; thus, ARRAY1p and ARRAY2p are represented as ARRAY1a and ARRAY2a in the following tables and figures.

The codes for the partitioning schemes were run on a 2.26GHz Pentium 4 PC that has 500MB of memory. The Microsoft Visual C++ 6.0 compiler with optimization level –O2 was used. For test data, the four IPv4 prefix databases of Table 5 were used.

Total Memory Requirement

The following Tables 5 and 6 and Figure 9 show the amount of memory used by each of the tested structures. In the figure, OLDPp refers to the pure one-level dynamic prefix partitioning versions of the base schemes and INTP refers to the interval partitioning versions, in accordance with the present invention. The amount of memory required by a base data structure (such as ACRBT) is generally less than that required by its OLDP version (ACRBT1p and ACRBT1a) and by its interval partitioning version (where applicable). ACRBT1a, ACRBT1p, CST1p, ACRBTIP, and CSTIP with Paix are some of the exceptions.

In the case of MaeWest, for example, the memory required by PBOB1p is about 39% more than that required by PBOB. The TLDP structures (both with an array for the *OLDP* node and with a hash table for this node) took considerably less memory than did the

corresponding base structure. For example, MULTIBIT2a with MaeWest required only 45% of the memory taken by MULTIBIT and MULTIBITb2a with MaeWest took 23% of the memory taken by MULTIBITb.

The partitioning schemes of the present invention reduce run time and memory requirement. The TLDPa schemes were particularly effective in reducing memory requirement. Of the tested structures, ARRAY1p and ARRAY2p are the most memory efficient. The focus of the study was directed to the best from among the structures that guarantee a good worst-case performance. Of these latter structures, PBOB is the most memory efficient. On the Paix database, for example, PBOB1a takes only 19% of the memory taken by ACRBT1a and only 79% of the memory taken by TRIE1a; PBOB takes 16% of the memory taken by ACRBT and 75% of the memory taken by TRIE.

Table 5—Memory requirement (in KB)

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	12360	5102	4587	4150
CST	10800	4457	4008	3636
MULTIBIT	7830	4939	4982	4685
MULTIBITb	21778	16729	19177	17953
PST	4702	1930	1740	1579
PBOB	1961	811	729	661
TRIE	2622	1091	980	890
ACRBT1p	12211	5479	5023	4638
CST1p	10708	4846	4451	4115
MULTIBIT1p	8848	5087	5098	4731
MULTIBITb1p	42845	25368	27278	24920
PST1p	4958	2186	1996	1835
PBOB1p	2219	1067	985	917
TRIE1p	2799	1279	1163	1075
ARRAY1p	632	417	405	392
ACRBT1a	10361	3736	3151	2787
CST1a	9079	3306	2799	2481
MULTIBIT1a	5884	2644	2439	2119
MULTIBITb1a	10605	4862	5588	4183
PST1a	4209	1603	1377	1237
PBOB1a	1928	851	757	697
TRIE1a	2457	1021	893	815
ACRBT2p	12212	5482	5027	4641
CST2p	10711	4849	4455	4119
MULTIBIT2p	8891	5104	5113	4752

Scheme	Paix	Pb	Aads	MaeWest
MULTIBITb2p	43068	25503	27391	25065
PST2p	4959	2187	1997	1836
PBOB2p	2220	1068	986	918
TRIE2p	2799	1279	1163	1075
ARRAY2p	634	418	406	393
ACRBT2a	10337	3720	3137	2767
CST2a	9060	3292	2786	2463
MULTIBIT2a	5858	2621	2414	2088
MULTIBITb2a	10514	4778	5498	4075
PST2a	4201	1597	1372	1229
PBOB2a	1926	850	755	695
TRIE2a	2452	1018	890	811
ACRBTIP	12218	5344	4856	4453
CSTIP	10658	4699	4277	3928

Table 6—Memory Requirement (in KB) (hash schemes)

Scheme	Paix	Pb	Aads	MaeWest
ACRBT2aH	10407	3656	3080	2699
CST2aH	9130	3228	2730	2397
MULTIBIT2aH	5928	2557	2359	2022
MULTIBITb2aH	10584	4714	5443	4009
PST2aH	4101	1498	1272	1129
PBOB2aH	1826	750	656	595
TRIE2aH	2353	919	790	711

Search Time

To measure the average search time, a data structure for each of the prefix databases were constructed. Four sets of test data were used. The destination addresses in the first set, NONTRACE, comprised the end points of the prefixes corresponding to the database being searched. These end points were randomly permuted. The data set, PSEUDOTRACE, was constructed from NONTRACE by selecting 1000 destination addresses.

A PSEUDOTRACE sequence comprises 1,000,000 search requests. For each search, a destination from the selected 1000 destination addresses was randomly chosen. The data set PSEUDOTRACE100 is similar to PSEUDOTRACE except that only 100 destination addresses were chosen to make up the 1,000,000 search requests. The last data set, PSEUDOTRACE100L16 differs from PSEUDOTRACE100 only in that the 100 destination

addresses were chosen so that the length of the longest matching prefix for each is less than 16.

Every search in PSEUDOTRACE100L16 differs from PSEUDOTRACE100 only in that the 100 destination addresses were chosen so that the length of the longest matching prefix for each is less than 16. Therefore, every search in PSEUDOTRACE100L16 required a search in *OLDP*[-1]. The NONTRACE, PSEUDOTRACE, and PSEUDOTRACE100 data sets represent different degrees of burstiness in the search pattern. In NONTRACE, all search addresses are different. Thus, this access pattern represents the lowest possible degree of burstiness. In PSEUDOTRACE, since destination addresses that repeat are not necessarily in consecutive packets, there is some measure of temporal spread among the recurring addresses. PSEUDOTRACE100 has greater burstiness than does PSEUDOTRACE.

For the NONTRACE, PSEUDOTRACE, PSEUDOTRACE100, and PSEUDOTRACE100L16 data sets, the total search time for each data set was measured and then averaged to get the time for a single search. This experiment was repeated 10 times and 10 average times were obtained. The average of these averages is given in Tables 7 through 12. For the PSEUDOTRACE100 and PSEUDOTRACE100L16 data sets, the times are presented only for the base and pure one-level and two-level partitioning schemes. Figures 10A through 10D are histograms of the average time for PAIX.

Table 7—Average search time (in μsec) for NONTRACE

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	1.31	0.98	0.94	0.92
CST	2.18	1.65	1.57	1.54
MULTIBIT	0.50	0.42	0.42	0.41
MULTIBITb	0.28	0.24	0.26	0.25
PST	1.09	0.80	0.75	0.70
PBOB	0.82	0.48	0.45	0.38
TRIE	1.12	0.82	0.75	0.68
ACRBT1p	1.13	0.90	0.87	0.85
CST1p	1.83	1.42	1.35	1.31
MULTIBIT1p	0.61	0.50	0.51	0.51
MULTIBITb1p	0.41	0.35	0.39	0.38
PST1p	0.76	0.59	0.55	0.51
PBOB1p	0.59	0.42	0.39	0.35

Scheme	Paix	Pb	Aads	MaeWest
TRIE1p	0.80	0.60	0.56	0.51
ARRAY1p	0.19	0.10	0.10	0.09
ACRBT1a	0.97	0.66	0.60	0.57
CST1a	1.63	1.04	0.91	0.85
MULTIBIT1a	0.54	0.36	0.34	0.32
MULTIBITb1a	0.32	0.20	0.22	0.20
PST1a	0.70	0.45	0.39	0.34
PBOB1a	0.52	0.30	0.25	0.20
TRIE1a	0.69	0.41	0.36	0.31
ACRBT2p	1.13	0.89	0.87	0.86
CST2p	1.82	1.41	1.33	1.30
MULTIBIT2p	0.61	0.50	0.52	0.51
MULTIBITb2p	0.41	0.35	0.38	0.37
PST2p	0.76	0.59	0.55	0.50
PBOB2p	0.58	0.41	0.39	0.34
TRIE2p	0.79	0.60	0.56	0.50
ARRAY2p	0.19	0.11	0.10	0.09
ACRBT2a	0.97	0.66	0.60	0.57
CST2a	1.63	1.04	0.90	0.85
MULTIBIT2a	0.50	0.33	0.32	0.30
MULTIBITb2a	0.32	0.21	0.22	0.20
PST2a	0.70	0.45	0.39	0.34
PBOB2a	0.52	0.29	0.25	0.21
TRIE2a	0.68	0.41	0.35	0.30
ACRBTIP	0.87	0.67	0.62	0.61
CSTIP	1.42	1.05	0.96	0.93

Table 8—Average search time (in μsec) (hash schemes) for NONTRACE

Scheme	Paix	Pb	Aads	MaeWest
ACRBT2aH	1.10	0.75	0.69	0.65
CST2aH	1.75	1.13	0.99	0.93
MULTIBIT2aH	0.63	0.43	0.42	0.39
MULTIBITb2aH	0.39	0.27	0.28	0.26
PST2aH	0.83	0.57	0.48	0.41
PBOB2aH	0.68	0.41	0.33	0.27
TRIE2aH	0.79	0.55	0.45	0.38

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Table 9—Average search time (in μsec) for PSUEDOTRACE

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	1.18	0.85	0.83	0.81
CST	0.46	0.42	0.42	0.41
MULTIBIT	0.27	0.24	0.23	0.22
MULTIBITb	0.10	0.09	0.10	0.09
PST	0.83	0.60	0.55	0.50
PBOB	0.59	0.34	0.34	0.29
TRIE	0.85	0.63	0.56	0.50
ACRBT1p	1.02	0.79	0.75	0.74
CST1p	0.47	0.40	0.41	0.41
MULTIBIT1p	0.36	0.29	0.34	0.31
MULTIBITb1p	0.20	0.17	0.18	0.19
PST1p	0.46	0.33	0.28	0.28
PBOB1p	0.29	0.19	0.17	0.17
TRIE1p	0.48	0.34	0.33	0.30
ARRAY1p	0.14	0.13	0.11	0.13
ACRBT1a	0.79	0.45	0.40	0.34
CST1a	0.34	0.24	0.21	0.19
MULTIBIT1a	0.29	0.18	0.17	0.16
MULTIBITb1a	0.15	0.12	0.12	0.11
PST1a	0.42	0.23	0.18	0.19
PBOB1a	0.26	0.17	0.16	0.14
TRIE1a	0.40	0.24	0.24	0.21
ACRBT2p	1.01	0.78	0.75	0.74
CST2p	0.47	0.40	0.42	0.42
MULTIBIT2p	0.37	0.31	0.33	0.32
MULTIBITb2p	0.21	0.18	0.19	0.19
PST2p	0.45	0.32	0.28	0.27
PBOB2p	0.28	0.17	0.18	0.16
TRIE2p	0.48	0.31	0.33	0.28
ARRAY2p	0.15	0.12	0.10	0.12
ACRBT2a	0.79	0.47	0.40	0.37
CST2a	0.33	0.24	0.21	0.21
MULTIBIT2a	0.29	0.19	0.17	0.16
MULTIBITb2a	0.15	0.11	0.12	0.11
PST2a	0.42	0.23	0.19	0.19
PBOB2a	0.26	0.16	0.15	0.14
TRIE2a	0.39	0.24	0.22	0.21
ACRBTIP	0.71	0.53	0.49	0.48
CSTIP	0.18	0.17	0.16	0.17

Table 10—Average search time (in μsec) (hash schemes) for PSUEDOTRACE

Scheme	Paix	Pb	Aads	MaeWest
ACRBT2aH	0.89	0.51	0.45	0.41
CST2aH	0.39	0.29	0.25	0.24
MULTIBIT2aH	0.36	0.23	0.21	0.20
MULTIBITb2aH	0.18	0.14	0.15	0.14
PST2aH	0.52	0.33	0.26	0.25
PBOB2aH	0.38	0.23	0.20	0.19
TRIE2aH	0.48	0.34	0.28	0.27

The measured average search times were considered for only the NONTRACE and PSEUDOTRACE data sets. The use of the OLDP, TLDP, and/or INTP schemes of the present invention reduce the average search time in all cases other than MULTIBIT1p, MULTIBITb1p, MULTIBIT2p, MULTIBITb2p and MULTIBITb2aH, and some of the remaining MULTIBIT cases. For Paix, for example, the MULTIBITb1a search time is 14% larger than that for MULTIBIT on the NONTRACE data set and 50% larger on the PSEUDOTRACE data set. The average search for MULTIBIT2a on the MaeWest database is 27% less than that for MULTIBIT when either the NONTRACE or PSEUDOTRACE data set is used.

The deterioration in performance when partitioning is applied to MULTIBIT and MULTIBITb is to be expected, because partitioning does not reduce the number of cache misses for any search. For example, the height of MULTIBIT is 4 and that of MULTIBITb is 3. Thus, no search in MULTIBIT results in more than 5 cache misses and in MULTIBITb, no search causes more than 4 cache misses. To search MULTIBIT1p, for example, in the worst case, $OLDP[i]$ is searched (5 cache misses including one to examine the overall root) as well as $OLDP[-1]$ (4 cache misses).

For the Paix database and the NONTRACE data set PBOB1a and PBOB2a both have a search time that is 37% less than that of PBOB. Although the search time for PBOB2aH is 31% larger than that for PBOB2a, the time is 17% less than that for PBOB. This finding is important in that it demonstrates the efficacy of the hashing scheme for situations (such as IPv6 with s = 64) in which it is not practical to use an array for the OLDP node.

Further, the average search time is considerably lower for the PSEUDOTRACE data set than for the NONTRACE data set because of the reduction in average number of cache misses per search when the search sequence is bursty. By increasing the burstiness using PSEUDOTRACE100, the average search time was reduced even further (Table 11 and Figure 10C).

Table 11—Search time (in μsec) for PSUEDOTRACE100

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	0.38	0.31	0.30	0.32
CST	0.22	0.21	0.21	0.25
MULTIBIT	0.14	0.13	0.13	0.13
MULTIBITb	0.07	0.07	0.07	0.07
PST	0.33	0.31	0.29	0.30
PBOB	0.33	0.27	0.27	0.26
TRIE	0.47	0.43	0.42	0.40
ACRBT1p	0.30	0.25	0.24	0.23
CST1p	0.17	0.16	0.16	0.16
MULTIBIT1p	0.16	0.14	0.15	0.14
MULTIBITb1p	0.12	0.11	0.11	0.11
PST1p	0.17	0.15	0.16	0.17
PBOB1p	0.16	0.13	0.13	0.15
TRIE1p	0.27	0.24.	0.24	0.24
ARRAY1p	0.12	0.10	0.09	0.11
ACRBT2p	0.30	0.26	0.26	0.25
CST2p	0.18	0.16	0.17	0.16
MULTIBIT2p	0.16	0.15	0.15	0.15
MULTIBITb2p	0.12	0.11	0.11	0.11
PST2p	0.18	0.15	0.17	0.18
PBOB2p	0.16	0.13	0.12	0.15
TRIE2p	0.26	0.24	0.23	0.24
ARRAY2p	0.12	0.11	0.10	0.09

For the NONTRACE data set, ARRAY1p and ARRAY2p had the best search time. For the PSEUDOTRACE and PSEUDOTRACE100 data sets, MULTIBITb was fastest and ARRAY1p and ARRAY2p came in next. Although the base ARRAY structure has an $O(n)$ search time complexity, the use of partitioning enables the (partitioned) ARRAY scheme to be highly competitive.

When the NONTRACE, PSEUDOTRACE and PSEUDOTRACE100 data sets were used, X1p and X2p have similar average search times. The same is true for X1a and X2a. Hence, there is only a small probability that a destination address in NONTRACE and PSEUDOTRACE will require examination of *OLDP*[-1]. To demonstrate the effectiveness

5   of the TLDP scheme, the search sequence PSEUDOTRACE100L16 was used in which search requires the examination of *OLDP*[-1]. The experimental data of Table 12 and Figure 10D illustrate that the X2p schemes significantly outperform their X1p counterparts. For the Paix database, the average search time for ARRAY2p is 14% that for ARRAY1p whereas for PBOB2p, the time is 67% that for PBOB1p.

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Table 12—Search time (in μsec) for PSUEDOTRACE100L16

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	0.47	0.40	0.38	0.36
CST	0.22	0.21	0.21	0.26
MULTIBIT	0.06	0.06	0.05	0.06
MULTIBITb	0.06	0.04	0.05	0.05
PST	0.38	0.28	0.28	0.27
PBOB	0.32	0.21	0.25	0.24
TRIE	0.39	0.35	0.34	0.33
ACRBT1p	0.33	0.25	0.26	0.23
CST1p	0.28	0.26	0.28	0.27
MULTIBIT1p	0.17	0.15	0.16	0.16
MULTIBITb1p	0.11	0.11	0.11	0.11
PST1p	0.22	0.18	0.18	0.18
PBOB1p	0.24	0.19	0.19	0.19
TRIE1p	0.36	0.30	0.31	0.29
ARRAY1p	0.73	0.30	0.29	0.38
ACRBT2p	0.30	0.25	0.24	0.23
CST2p	0.23	0.22	0.22	0.21
MULTIBIT2p	0.16	0.14	0.14	0.14
MULTIBITb2p	0.11	0.11	0.10	0.10
PST2p	0.16	0.13	0.13	0.13
PBOB2p	0.14	0.13	0.12	0.12
TRIE2p	0.25	0.21	0.22	0.21
ARRAY2p	0.10	0.08	0.08	0.09

Insert Time

To measure the average insert time for each of the data structures, a random permutation of the prefixes was obtained in each of the databases. Next, the first 75% of the prefixes in this random permutation were inserted into an initially empty data structure. The time to insert the remaining 25% of the prefixes was measured and averaged. This timing experiment was repeated 10 times. Tables 13 and 14 for Paix. Figure 11 histograms the average times of Tables 13 and 14 for Paix.

Table 13—Average time to insert a prefix (in μsec)

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	9.86	10.73	10.37	10.20
CST	7.76	6.35	5.95	5.90
MULTIBIT	0.88	0.95	0.97	0.96
MULTIBITb	0.73	1.07	1.22	1.26
PST	3.13	2.60	2.45	2.05
PBOB	1.83	1.54	1.48	1.23
TRIE	2.82	2.31	2.27	2.02
ACRBT1p	6.24	4.96	4.70	4.69
CST1p	5.18	4.16	3.98	4.00
MULTIBIT1p	1.10	1.17	1.24	1.13
MULTIBITb1p	1.40	2.33	2.58	2.52
PST1p	2.35	1.93	1.77	1.52
PBOB1p	1.44	1.17	1.10	0.94
TRIE1p	2.02	1.61	1.51	1.36
ARRAY1p	0.30	0.26	0.28	0.27
ACRBT1a	5.21	3.23	2.90	2.86
CST1a	4.52	2.77	2.40	2.38
MULTIBIT1a	1.06	0.88	0.98	0.75
MULTIBITb1a	0.95	0.91	1.01	0.90
PST1a	2.17	1.67	1.52	1.32
PBOB1a	1.31	0.98	0.91	0.76
TRIE1a	1.91	1.47	1.34	1.18
ACRBT2p	6.27	4.95	4.67	4.69
CST2p	5.21	4.12	3.95	4.00
MULTIBIT2p	1.15	1.26	1.29	1.26
MULTIBITb2p	1.46	2.50	2.64	2.56
PST2p	2.30	1.92	1.76	1.50
PBOB2p	1.37	1.15	1.08	0.93
TRIE2p	1.95	1.60	1.48	1.35
ARRAY2p	0.32	0.24	0.29	0.25

Scheme	Paix	Pb	Aads	MaeWest
ACRBT2a	5.35	3.28	2.81	2.80
CST2a	4.42	2.73	2.41	2.34
MULTIBIT2a	1.06	0.97	0.96	0.92
MULTIBITb2a	0.98	1.00	1.10	0.98
PST2a	2.18	1.64	1.50	1.29
PBOB2a	1.30	1.00	0.90	0.75
TRIE2a	1.90	1.43	1.34	1.17
ACRBTIP	9.01	7.98	7.53	7.45
CSTIP	6.21	5.19	4.90	4.81

Table 14: Average time to insert a prefix (in μsec) (hash schemes)

Scheme	Paix	Pb	Aads	MaeWest
ACRBT2aH	5.53	3.54	3.10	3.01
CST2aH	4.67	2.98	2.60	2.54
MULTIBIT2aH	1.13	1.09	1.04	0.99
MULTIBITb2aH	1.10	1.08	1.17	1.06
PST2aH	2.29	1.75	1.59	1.42
PBOB2aH	1.42	1.08	0.97	0.85
TRIE2aH	1.99	1.53	1.42	1.25

5   The insert experiments demonstrate that ARRAY1p and ARRAY2p are performed with the least time. When the partitioning operation is performed using base structures whose worst-case performance is better than $O(n)$, the PBOB2a, MULTIBIT2a and MULTIBITb2a structures are competitive in time and achieve the best time for this operation. For example, while an insert in the Paix database takes 19% less time when 10   MULTIBIT2a is used than when a PBOB2a is used, that in the MaeWest takes 15% more time. Generally, the use of OLDP, TLDP, and/or INTP of the present invention reduces the insert time. MULTIBIT1p, MULTIBITb1p, MULTIBIT2p, and MULTIBITb2p are exceptions, taking more time for inserts in each of the four databases. MULTIBIT1a, MULTIBITb1a, MULTIBIT2a, and MULTIBITb2a took more time than their base structures 15   on some of the databases and less on others. The insert time for MULTIBIT is about 20% less than that for MULTIBIT1a. In contrast, the insert time for PBOB2a is between 29% and 39% less than that for PBOB.

Delete Time

To measure the average delete time, 25 % of the prefixes was removed from the data structure for each database. The prefixes to delete were determined using the permutation
5   generated for the insert time test; the last 25% of these were deleted. Once again, the test was run 10 times and the average of the averages computed. Tables 15 and 16 show the average time to delete a prefix over the 10 test runs. Figure 12 histograms the average times of Tables 15 and 16 for Paix.

10   Table 15—Average time to delete a prefix (in μsec)

Scheme	Paix	Pb	Aads	MaeWest
ACRBT	9.86	10.73	10.37	10.20
CST	6.34	5.09	4.98	4.84
MULTIBIT	1.34	1.80	2.09	2.06
MULTIBITb	1.46	2.01	2.43	2.44
PST	2.74	2.27	2.12	1.74
PBOB	1.67	1.40	1.31	1.10
TRIE	2.30	1.81	1.75	1.58
ACRBT1p	5.64	4.33	4.03	3.97
CST1p	3.89	3.04	2.98	2.84
MULTIBIT1p	1.25	1.30	1.27	1.37
MULTIBITb1p	1.67	2.03	2.18	2.13
PST1p	2.01	1.69	1.55	1.33
PBOB1p	1.33	1.14	1.06	0.91
TRIE1p	1.67	1.27	1.18	1.11
ARRAY1p	0.30	0.24	0.20	0.22
ACRBT1a	5.10	3.31	2.68	2.71
CST1a	3.57	2.20	2.04	1.97
MULTIBIT1a	1.09	0.88	0.71	0.75
MULTIBITb1a	1.29	1.03	1.04	0.97
PST1a	1.88	1.41	1.27	1.14
PBOB1a	1.21	0.90	0.79	0.70
TRIE1a	1.55	1.14	1.05	0.90
ACRBT2p	5.60	4.20	3.92	3.92
CST2p	3.97	3.00	2.91	2.87
MULTIBIT2p	1.27	1.29	1.29	1.30
MULTIBITb2p	1.70	2.06	2.22	2.16
PST2p	2.00	1.69	1.56	1.32
PBOB2p	1.30	1.13	1.04	0.90

Scheme	Paix	Pb	Aads	MaeWest
TRIE2p	1.68	1.26	1.18	1.08
ARRAY2p	0.28	0.24	0.21	0.22
ACRBT2a	5.02	3.09	2.75	2.67
CST2a	3.51	2.15	2.03	1.98
MULTIBIT2a	1.10	0.84	0.79	0.76
MULTIBITb2a	1.30	1.00	0.98	0.95
PST2a	1.83	1.40	1.29	1.11
PBOB2a	1.17	0.88	0.80	0.68
TRIE2a	1.57	1.14	1.04	0.86
ACRBTIP	8.13	7.17	6.76	6.65
CSTIP	5.06	4.26	4.16	4.09

Table 16—Average time to delete a prefix (in μsec) (hash schemes)

Scheme	Paix	Pb	Aads	MaeWest
ACRBT2aH	5.14	3.18	2.81	2.77
CST2aH	3.67	2.25	2.08	2.03
MULTIBIT2aH	1.18	0.91	0.87	0.84
MULTIBITb2aH	1.35	1.07	1.05	0.97
PST2aH	2.01	1.50	1.37	1.18
PBOB2aH	1.30	0.97	0.97	0.77
TRIE2aH	1.67	1.23	1.13	1.00

As can be seen, the use of OLDP, TLDP, and/or interval partitioning schemes of the present invention generally resulted in a reduction in the delete time, with the exceptions being MULTIBITb1p and MULTIBITb2p with Paix and Pb. TLDP with array linear lists (*i.e.*, the schemes X2a where X denotes a base scheme such as ACRBT) resulted in the smallest delete times for each of the tested base data structures. The delete time for MULTIBIT2a was between 19% and 62% less than that for MULTIBIT; for PBOB2a, the delete time was between 30% and 39% less than that for PBOB. As was the case for the search and insert operations, ARRAY1p and ARRAY2p have the least measured average delete time. From among the remaining structures, the delete time is the least for MULTIBIT1a, MULTIBIT2a and PBOB2a. For example, on the Paix database, a delete using MULTIBIT2a takes about 6% less time than when PBOB2a is used; on the MaeWest database, a delete using MULTIBIT2a takes about 12% more time than when PBOB2a is used.

Example 1—Prefix Partitions Represented by Priority Search Trees

The present invention uses priority search trees to represent dynamic prefix-router tables to reduce the processing time required to search, insert, and delete tuples. In one

5   embodiment, the present invention uses priority search trees to perform prefix routing, wherein the best-matching prefix is the most-specific matching prefix (the range analog of the longest-matching prefix). Because a prefix is implicitly a range, it is unnecessary to assess intersection or conflicts in ranges.

To determine the most specific matching prefix, R is established as a set of ranges

10   such that each range represents an address prefix. As illustrated in Figure 13, the range (prefix) is represented as PST **39**. By operating on the PST to define a rectangle **41** comprising the prefixes associated with the desired destination address, the most specific range (prefix) can then be found by locating the point in the defined rectangle **43** having the least x-value. Using this method, the longest prefix is located in the dynamic router table.

15   To insert a prefix into the router data table, the range is mapped and transformed as described above, and the transformed range is inserted into the PST. To delete a prefix, the transformed range is removed from the PST. When the PST is an RBPST, each search, insert, and delete action is performed in $O(\log n)$ time.

The following examples explain how prefixes are routed in accordance with the

20   present invention and as illustrated in Figure 13.

Prefix Lookup Using the Priority Search Tree Data Structure

The set of ranges R, is conflict free and includes the range that corresponds to a prefix. With this assumption, the $msr(d)$ — most specific range of R that matches destination

25   address (d) — is defined for every destination address d (*i.e.*, cannot equal zero) and is the conflict free range set defined as [*maxStart(ranges(d))*, *minFinish(ranges(d))*].

To obtain the most specific range of R, a priority search tree (*PST1*) is applied to the range (prefix) point set. For example, using a red-black priority-search tree (RBPST) where *ranges(d)* is given by the points that lie in a rectangle (including points on the boundary)

defined by $x_{left} = d$, $x_{right} = \infty$, $y_{top} = d$, and $y_{bottom} = 0$, these points are obtained using the

$enumerateRectangle(x_{left}, x_{right}, y_{top}) = enumerateRectangle(d, \infty, d)$ of a data structure where

y_{bottom} is implicit and is always 0. Thus, by applying the $enumerateRectangle(x_{left}, x_{right}, y_{top})$

operation, that being $enumerateRectangle(2^W d - d + 2^W - 1, \infty, d)$, the $ranges(d)$ of the $msr(d)$

5   are provided.

Then, the most specific range (prefix lookup routing) is determined by performing the

operation $minXinRectangle(x_{left}, x_{right}, y_{top})$ on the $ranges(d)$ provided.

Prefix Insertion Using the Priority Search Tree Data Structure

10   After performing the prefix lookup routing as described above, a prefix whose range

in $[u, v]$ is inserted by performing the operation $transform1(map1([u, v]))$ into $PST1$. Where

the prefix ($[u, v]$) is already in $PST1$, the next-hop information is updated for this prefix in

$PST1$..

Prefix Deletion Using the Priority Search Tree Data Structure

15

In accordance with the present invention, a request to delete a prefix results in setting

the next-hop associated with this prefix to zero. Thus, the deletion of a prefix does not delete

the prefix from $PST1$.

Example 2—Interval Partitions Using BIT and CPT

20

In one embodiment of the invention, a front-end basic-interval tree (BIT) and a

collection of prefix trees are used to perform routing operations (*i.e.*, lookup) on interval-

based partitions. The prefix trees (also termed back-end prefix trees or CPT) are constructed

for each of the prefixes in the router table. The prefix tree for prefix P comprises a header

25   node plus one node, called a *prefix node*, for every nontrivial prefix (*i.e.*, a prefix whose start

and end points are different) or basic interval x such that $next(x) = P$. The header node

identifies the prefix P for which this is the prefix tree.

As illustrated in Figure 14A, a BIT is provided for the five prefix example of Table 4.

The pointers to prefix-tree nodes are shown in the circle outside each node. In Figures 14B,

14C, 14D, 14E, and 14F, the back-end prefix trees for the five prefixes of Table 4 are illustrated. The prefix nodes of prefix trees of Figures 14B, 14C, 14D, 14E, and 14F store the start point of the range or prefix represented by that prefix node. The start points of the basic intervals and prefixes are shown inside the prefix nodes while the basic interval or prefix name is shown outside the node.

In accordance with the present invention, each BIT node stores a basic interval. Along with each basic interval, a pointer to the back-end prefix-tree node for the basic interval is stored. In one embodiment, a pointer corresponding to W-length prefixes is stored for the end points of the basic interval that correspond to prefixes whose length is W.

Routing Using the Interval Partitioning Scheme of the Present Invention

The present invention uses an interval partitioning scheme to represent dynamic prefix-router tables to reduce the processing time and memory required to search, insert, and delete prefixes. In one embodiment, the present invention uses binary priority search trees as back-end prefix trees.

To determine the longest matching prefix, the BIT is used to reach a BIT node that contains the basic interval of interest. The BIT node points to the node in the back-end tree for the prefix of interest. Should there not be a BIT node that contains the basic interval of interest, the rightmost basic interval in the BIT node is selected.

Insertion and deletion of prefixes is performed by inserting and removing end points from the BIT, respectively, and adding/removing a back-end prefix tree. The use of interval partitioning affects only the components of the insert/delete algorithms that deal with the BIT. The computer program instructions for implementing the schemes of the present invention can be derived from the following code. The code notation utilizes syntax from C++ programming language.

In accordance with the present invention, codes for determining the longest matching prefix, inserting a prefix, and deleting a prefix are as follows.

```
Algorithm lookup(d){
        //return lmp(d)
```

```
            p= first (d,s)
            if (partition[p].abit !=null && partition[p].start<=d)
                  //containing basic interval is in partition[p].abit
                  return partition[p].abit->lookup(d);
            else return partition[partition[p].previous].abit->rightmost
      }


Algorithm insert(e){
      //insert the end point e
      p = first (e,s)
      if (partition[p].abit = null){
            //m has interval that is split by e
            m = partition[partition[p].previous].abit->rightmostNode();
//split into 2 intervals
            partition[p].abit->new Node(e, m->rightKey, m->rightPrefix);
            m->rightKey = e; m->rightPrefix = null;
            //update next and previous fields of the partition table
            for (i=partition[p].previous; i<p; i++) partition[i].next = p;
            for (i=p+1; i<=partition[p].next; i++) partition[i].previous = p;
      }
      else{
            if (partition[p].start > e){
                  m = partition[partition[p].previous].abit->rightmostNode();
                  m->rightKey = e; m->rightPrefix = null;
            }
            partition[p].abit->insert(e);
      }
}

Algorithm delete(e){
      //delete the end point e
      p = first(e,s);
      if (partition[p].abit !=null){
            if (partition[p].start == e){
                  //combine leftmost interval of p with rightmost of previous
                  ln = partition[p].abit->leftmostNode();
                  m = partition[partition[p].previous].abit->rightmostNode();
                  m->rightKey = ln->rightKey; m->rightPrefix = ln->rightPrefix;
            }
            partition[p].abit->delete(e);
            if (partition[p].abit == null){
                  ///update next and previous fields of the partition table
                  for (i=partition[p].previous; i<p; i++)
```

```
        partition[i].next = partition[p].next;
    for (i=p+1; i<=partition[p].next; i++)
        partition[i].previous = partition[p].previous;
            }
        }
    }
```

Using the flow diagrams of Figures 15, 16, 17, and 18 the routing operations described above are illustrated. In Figure 15, a routing table is constructed in accordance with the present invention. Packets specifying prefixes and decision rules for the prefixes are received **45**. Each of the prefixes is then represented as a range [b,f] **47**, where b is the start of the range for the prefix and f is the finish range for the prefix. Consecutive endpoints of the prefix ranges are used to establish basic intervals **49**. Each of the basic intervals is represented using interval-based dynamic routing table structures **51**. The prefixes are partitioned into 2^s – entry partitions using s bits of the prefixes **53**. For each partition, four fields are established **55**: (a) next partition (representing the next nonempty partition); (b) previous (representing the previous nonempty partition); (c) pointer (representing the pointer to the dynamic routing table structure representing the basic intervals and corresponding prefixes); and (d) start (representing the smallest end point in the partition table).

As illustrated in Figure 16, to find the longest matching prefix in an interval-based router table structure of the invention, the partition in which the desired prefix is located is determined **57**. The partition is assessed to determine whether the field for the pointer is empty **59**. If the pointer field is not empty, the longest matching prefix to which the pointer points is returned as the longest matching prefix **65**. Where the pointer field is empty, the previous nonempty partition is identified **61** and the pointer field for the rightmost basic interval is determined **63**. Once the pointer field is determined, the longest matching prefix to which the pointer points is returned **65**.

To insert a prefix into a router table data structure using the interval partitioning scheme of the present invention, endpoints are added from the BIT and/or the back-end prefix tree. As can be understood by the skilled artisan, the interval partitioning scheme of the invention only affects the insert routing operation at the BIT. As illustrated in Figure 17,

the partition into which the new prefix (endpoint) is to be inserted is identified **67**. The partition is assessed to determine whether the field for the pointer is empty **69**. If the pointer field is not empty, the start field is analyzed **71** to determine if it is greater than the endpoint to be inserted. Should the start field be less than the end point to be entered, the prefix is inserted into the partition **73** and a new node is created in the pointer field **79**. The new and previous fields are then updated **81**. Should the pointer field be empty or the start field be greater than the end point, the prefix is inserted at the previous non-empty partition **75**, splitting the basic interval into two intervals. The previous partition is then assessed to find the pointer field for the right most basic interval **77** and a new node is created in the pointer field **79**. The new and previous fields are then updated **81**.

As with the insertion operation, the routing operation of deleting a prefix using the interval partitioning scheme of the present invention is performed by removing endpoints from the BIT and/or the back-end prefix tree. With reference to Figure 18, the partition from which a prefix is to be removed is identified **83**. The partition is assessed to determine if the pointer field is empty **85**. Should the pointer field not be empty, the prefix is analyzed to determine whether it is located in the start field **91**. Should the prefix fall in the start field, the left most interval is combined in the partition with the rightmost interval in the previous partition **93**. Where the pointer field is empty, or where the prefix does not fall in the start field, the endpoint of the prefix to be removed is deleted from the pointer field and the partition **87**. The next and previous fields are then updated **89**.

Based on the foregoing specification, the invention may be implemented using computer programming or engineering techniques including computer software, firmware, hardware or any combination or subset thereof. Any such resulting program, having computer-readable code means, may be embodied or provided within one or more computer-readable media, thereby making a computer program product, *i.e.*, an article of manufacture, according to the invention. The computer readable media may be, for instance, a fixed (hard) drive, diskette, optical disk, magnetic tape, programmable logic device, semiconductor memory such as read-only memory (ROM), *etc.*, or any transmitting/receiving medium such as the Internet or other communication network or link. The article of manufacture

containing the computer code may be made and/or used by executing the code directly from one medium, by copying the code from one medium to another medium, or by transmitting the code over a network.

One skilled in the art of computer science will easily be able to combine the software created as described with appropriate general purpose or special purpose computer hardware, including programmable logic devices, to create a computer system or computer sub-system embodying the method of the invention. An apparatus for making, using or selling the invention may be one or more processing systems including, but not limited to, a central processing unit (CPU), memory, storage devices, communication links and devices, servers, I/O devices, or any sub-components of one or more processing systems, including software, firmware, hardware or any combination or subset thereof, which embody the invention. User input may be received from the keyboard, mouse, pen, voice, touch screen, or any other means by which a human can input data into a computer, including through other programs such as application programs.

All patents, patent applications, provisional applications, and publications referred to or cited herein are incorporated by reference in their entirety, including all figures and tables, to the extent they are not inconsistent with the explicit teachings of this specification.

It should be understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and the scope of the appended claims.